

# UML TESTING PROFILE 2

## OMG'S NEW STANDARD FOR MODEL-BASED TESTING

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# UML TESTING PROFILE 2

## Goal of this tutorial

- Understand the scope of UTP 2
- Find its place in the ocean of testing standards
- Become aware of its capabilities in terms of building software test architectures
- Get inspiration how UTP 2 can be applied for automated test design



# AGENDA

1. The UML Testing Profile @ a Glance
2. What can I do with UTP 2?
3. What has not yet been said

# AGENDA

1. The UML Testing Profile @ a Glance

2. What can I do with UTP 2?

- **Test Language**
- **Arbitration Specifications**
- **Test Design Facility**

3. What has not yet been said

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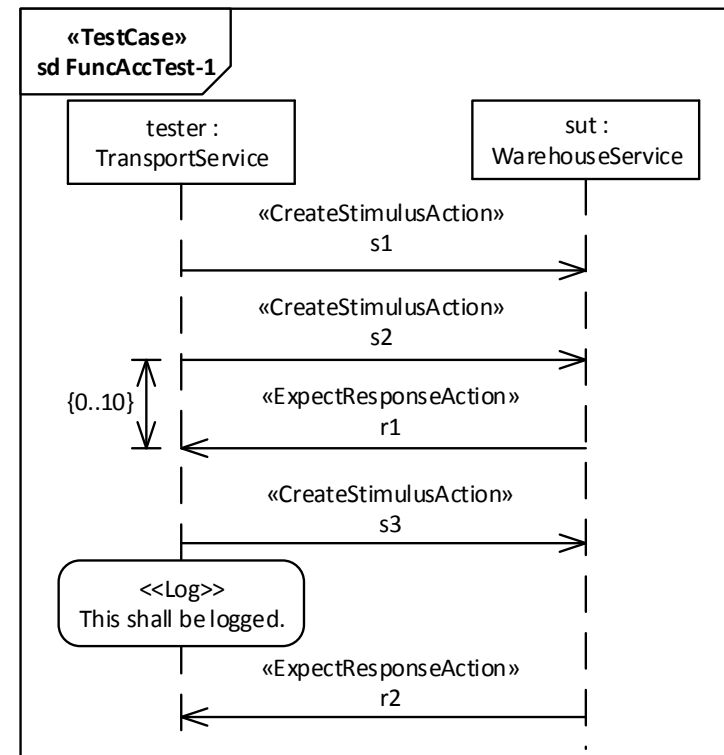
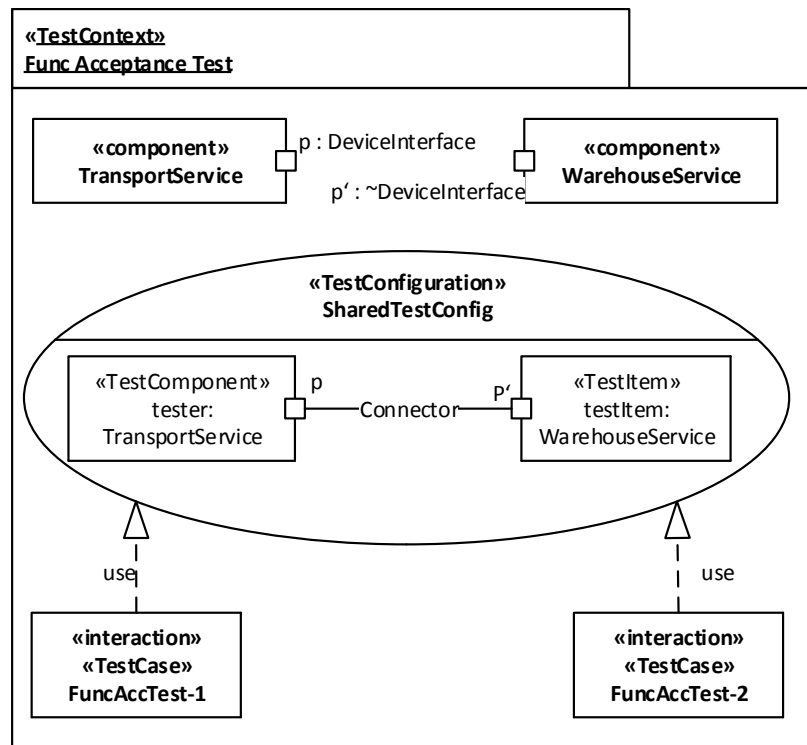
# THE UML TESTING PROFILE @ A GLANCE

## Understanding UTP

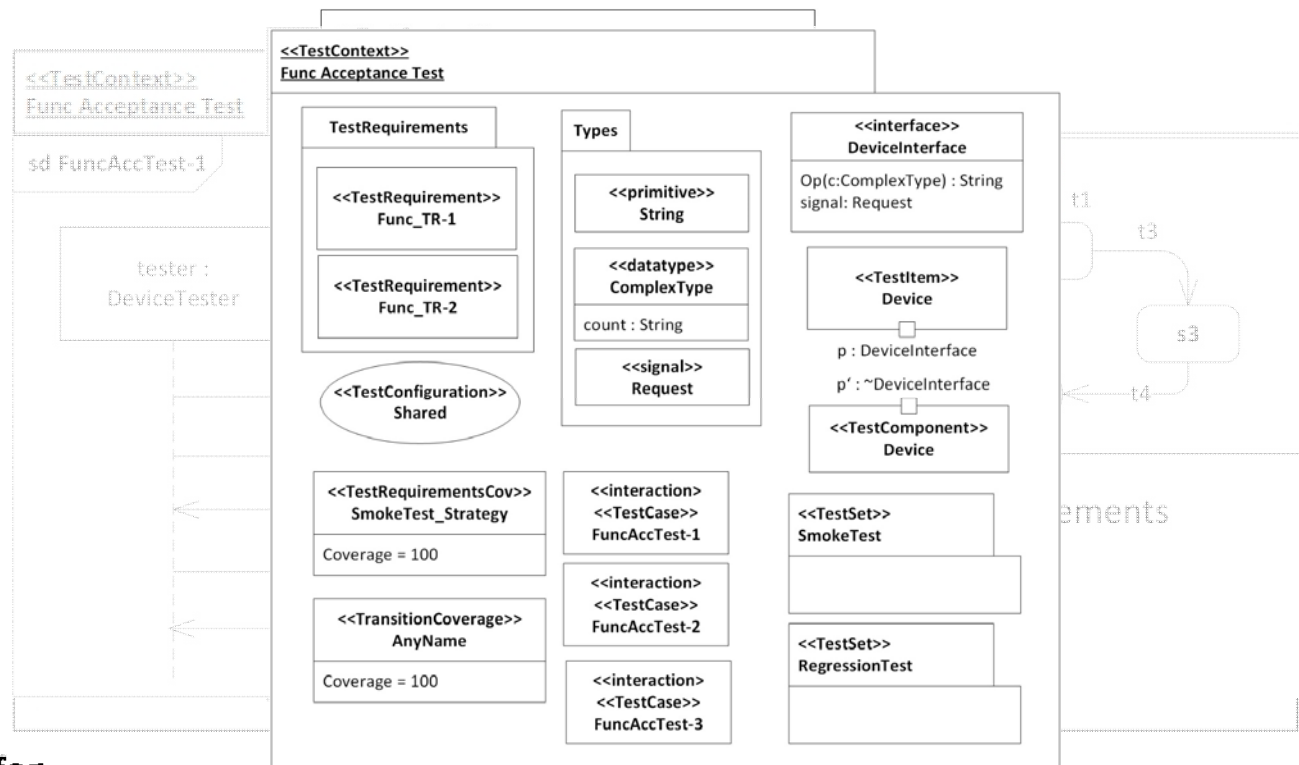
- A modeling **language** based on the UML [1]
- Supports **(test) engineers** in carrying out (manual or automated) **test design activities**
- Specification of **test models and test logs**
- Facilitates (manual or automated) **test execution** and **evaluation**
- Simplifies **communication and understanding** among stakeholders
- Vendor- and methodology-independent (i.e., open) standard

**UTP abides by the idea of model-driven engineering  
but for testing (test automation) purposes**

# THE UML TESTING PROFILE @ A GLANCE



# WHAT CAN I DO WITH UTP 2?





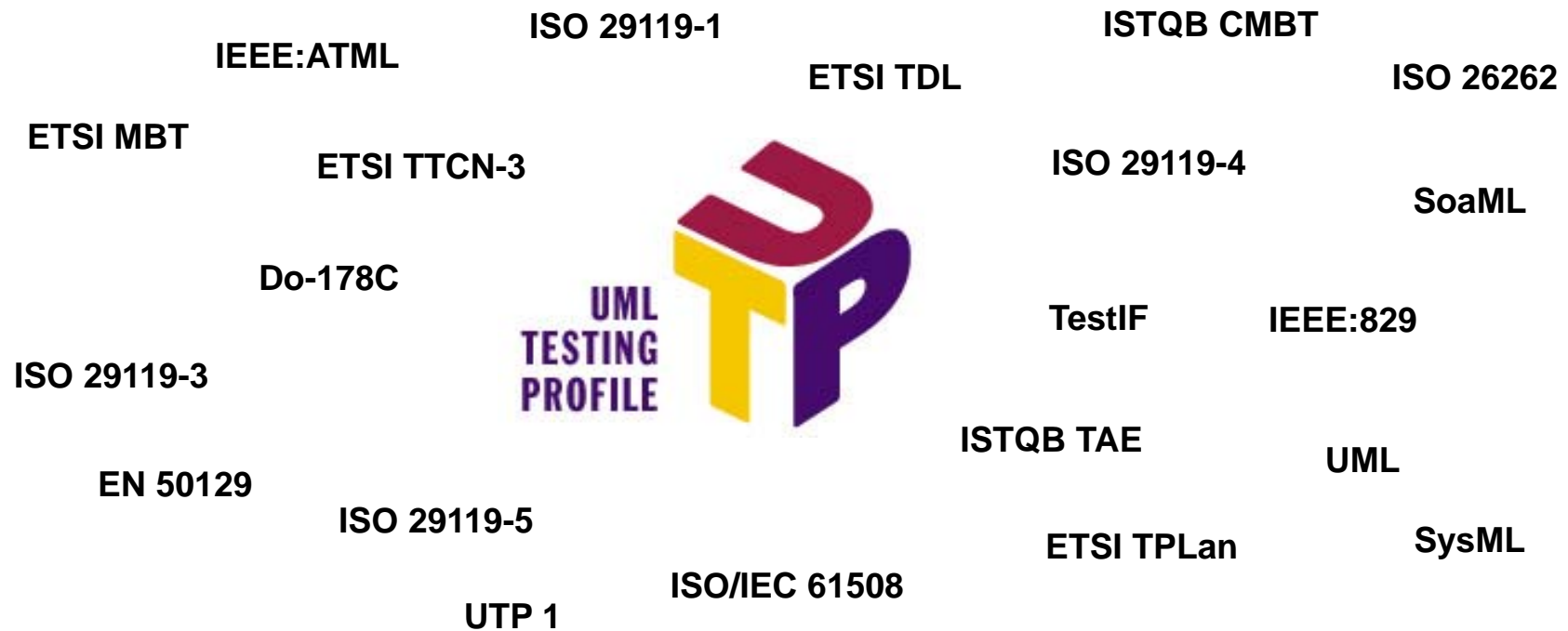
# THE UML TESTING PROFILE @ A GLANCE

## Understanding UTP – Out of Scope

- Methodologies
- Modeling of test processes and/or higher-level test management concepts (such as test strategies, role concepts etc.)
- Static testing such as audits/reviews, static code analysis, etc.

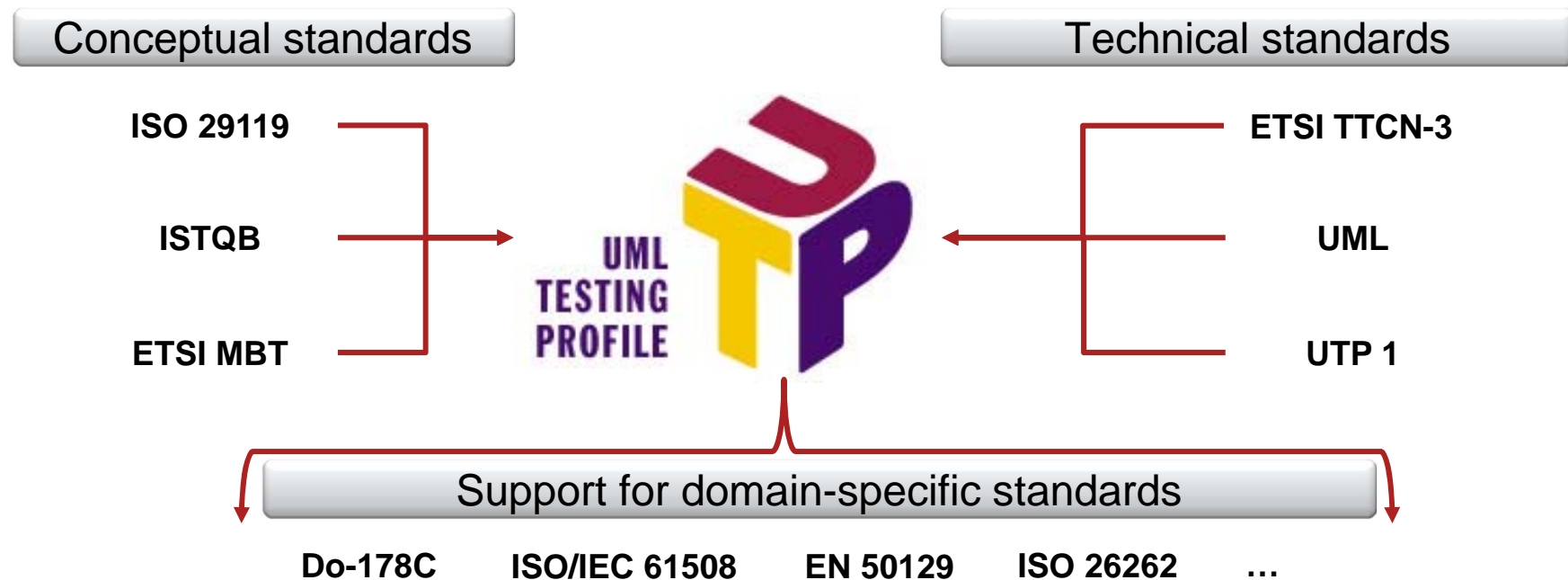
# THE UML TESTING PROFILE @ A GLANCE

UTP in the ocean of testing and domain-specific standards



# THE UML TESTING PROFILE @ A GLANCE

## Influencing standards



# THE UML TESTING PROFILE @ A GLANCE

## History Lessons

- RFP for a testing profile for UML 2.0 was initiated in 2003
  - UML Testing Profile 1.0 was adopted by OMG in 2005
    - It was the first standardized UML 2.0 profile
    - It was released before UML 2.0 was finalized
  - UML Testing Profile 1.1 was released in 2011
  - UML Testing Profile 1.2 was released in 2012
- **Why do we need a major revision?**

# THE UML TESTING PROFILE @ A GLANCE

## Towards UTP 2 – Reasons for a major revision

- UTP 1.0 was ahead of its time – in the meantime, things have changed
  - Incorporate experiences with model-based development and testing
  - Incorporate experiences of using UML and profiles
  - Incorporate new standards like ISO 29119 or ETSI ES 202 951 (MBT)
- Lack of/insufficiently elaborated concepts
  - Test design facility, test data values, test logging facility
- OMG policies to introduce new concepts in a minor revision are restrictive

**UTP 2 is rather a technical modernization of the language  
instead of a reinvention of the wheel**

# AGENDA

1. The UML Testing Profile @ a Glance

**2. What can I do with UTP 2?**

- Test Language
- Arbitration Specifications
- Test Design Facility

3. What has not yet been said

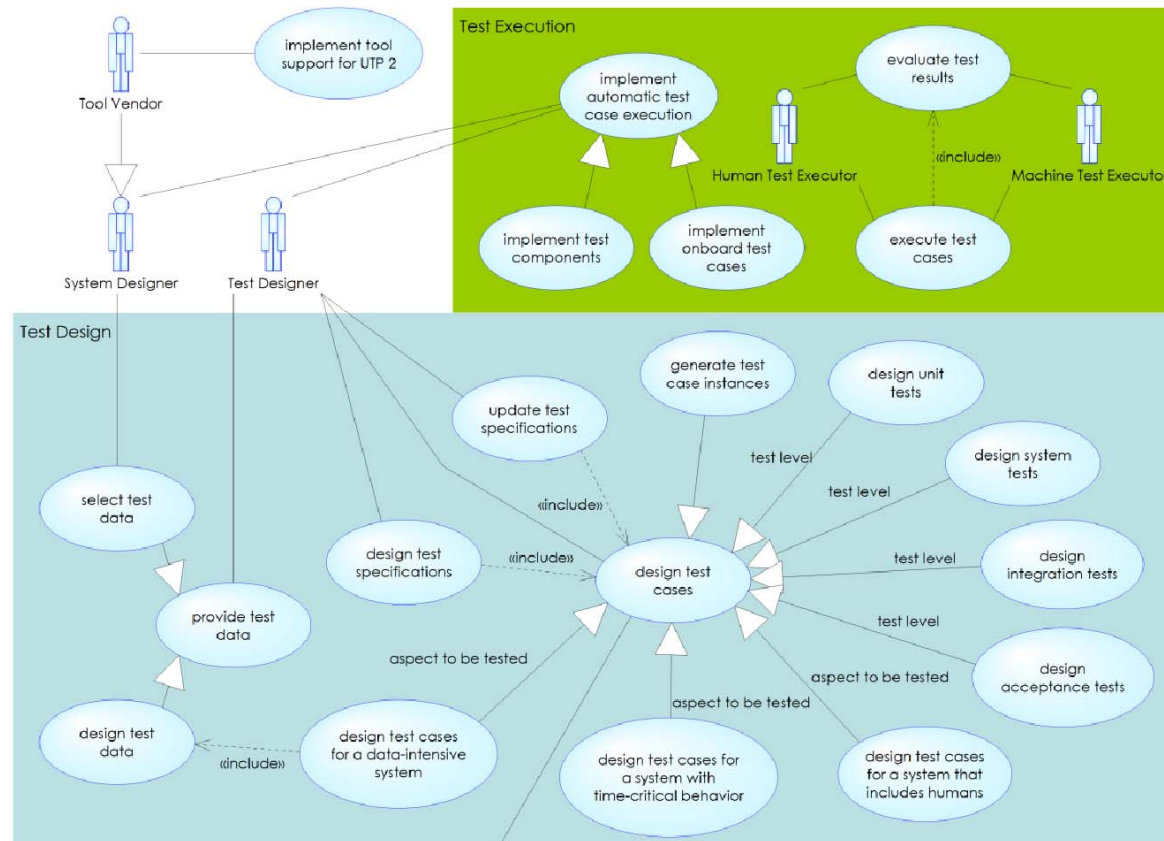
## WHAT CAN I DO WITH UTP 2?

**With UTP 2, I as a test engineer would want to**

- Generate test cases, test data and test schedules automatically...
- Visualize test cases, test data and test schedules ...
- Specify and reuse test environments ...
- Capture test execution results for further test evaluation...
- Specify matching mechanisms for actual and expected responses...
- Specify arbitration rules for verdict calculation...
- Generate executable test scripts and test results for a dedicated target platform...
- Produce test reports in a desired format...
- Specify test (automation) architectures in a technology-independent manner...

**... so that comprehensibility and communication among stakeholders are improved,  
important knowledge is preserved and  
the degree of automation is increased**

# WHAT CAN I DO WITH UTP 2?



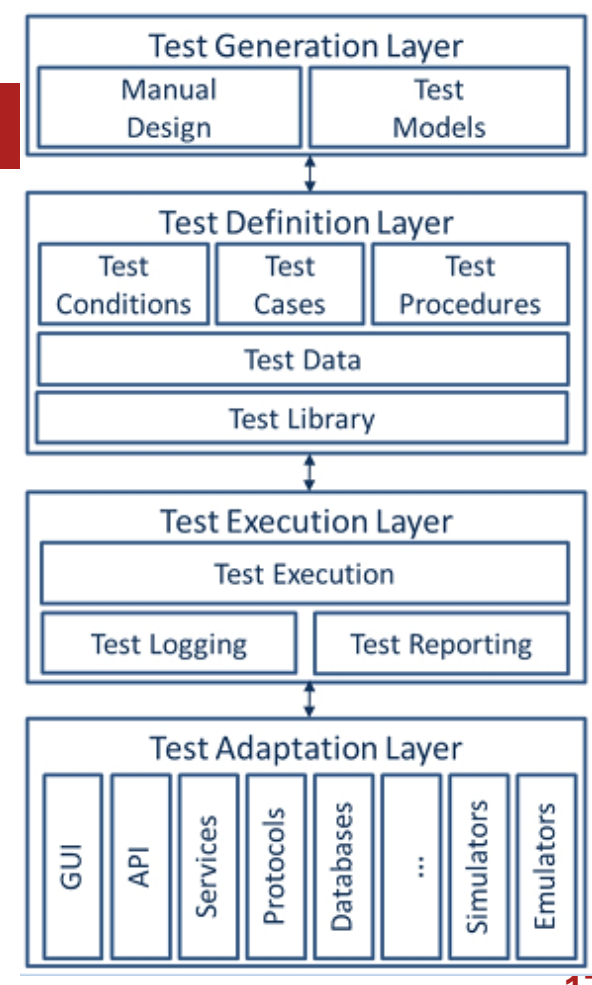


## WHAT CAN I DO WITH UTP 2?

### Building test architectures with UTP 2

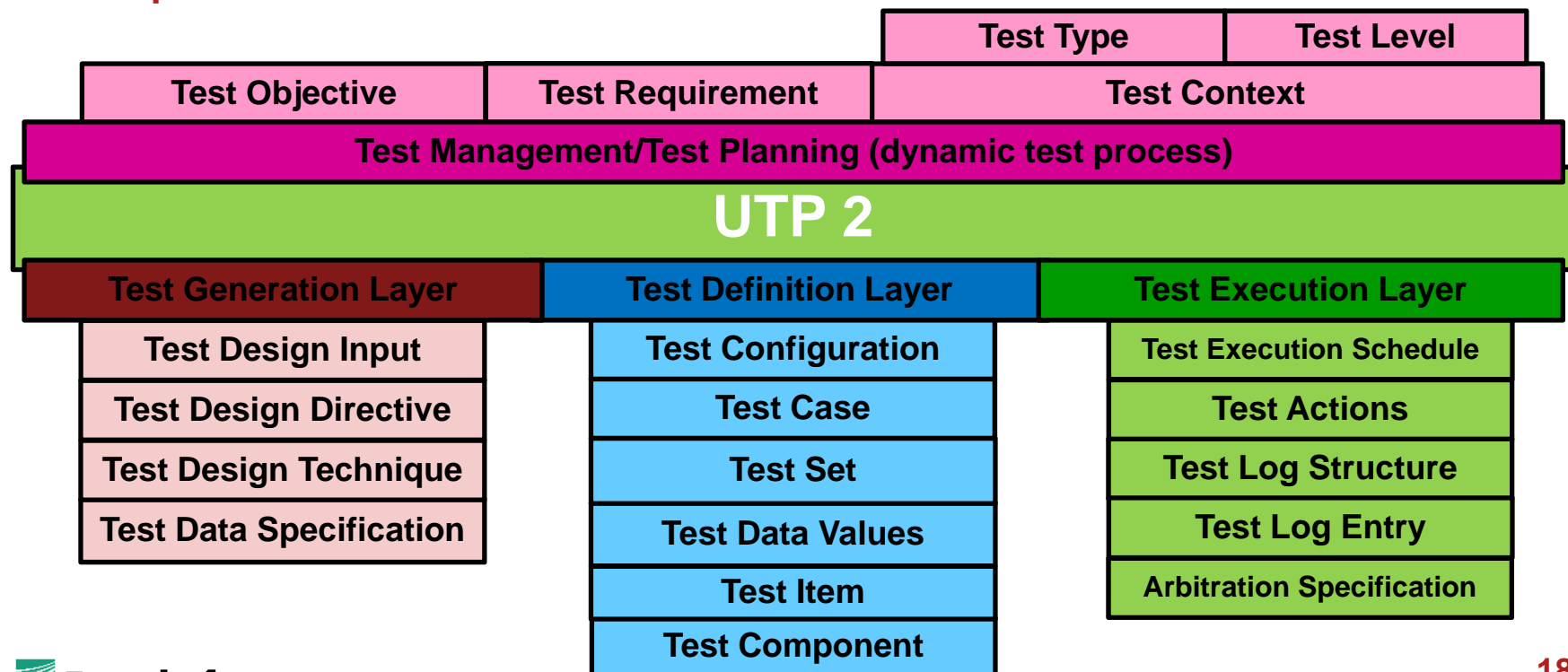
- Test Generation Layer  
Manual / automated design of test cases / test data
- Test Definition Layer  
Specification of test cases, test data, test procedures...
- Test Execution Layer  
Execution of test cases, logging of test execution, test evaluation & verdict arbitration
- Test Adaptation Layer  
Establishing communication with the system under test in order stimulate and observes it

**UTP 2 offers explicit concepts for the test generation, test definition and test execution layer**

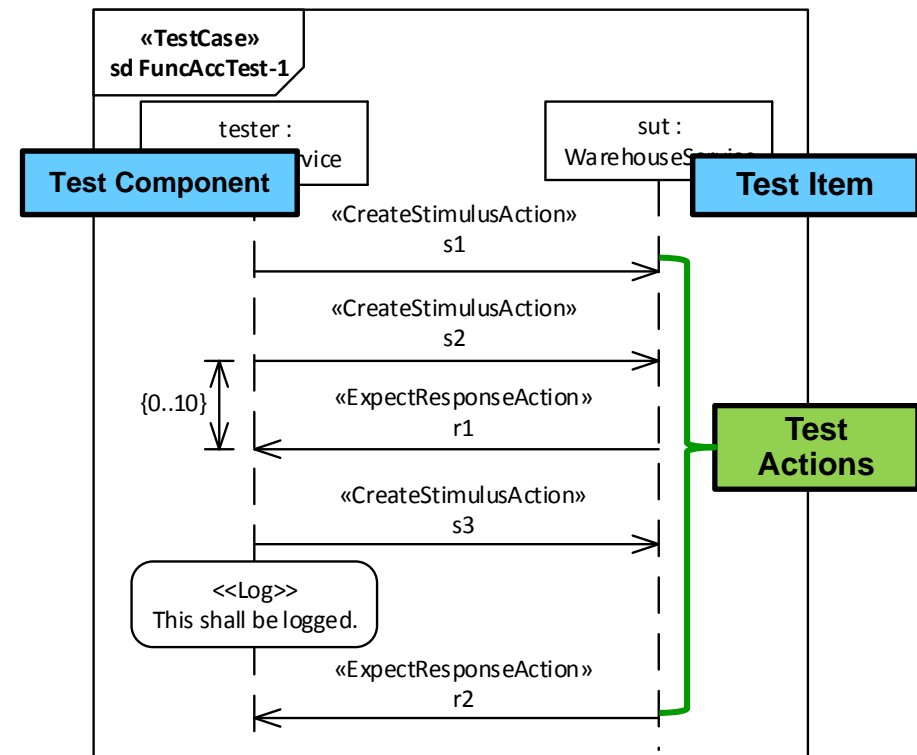
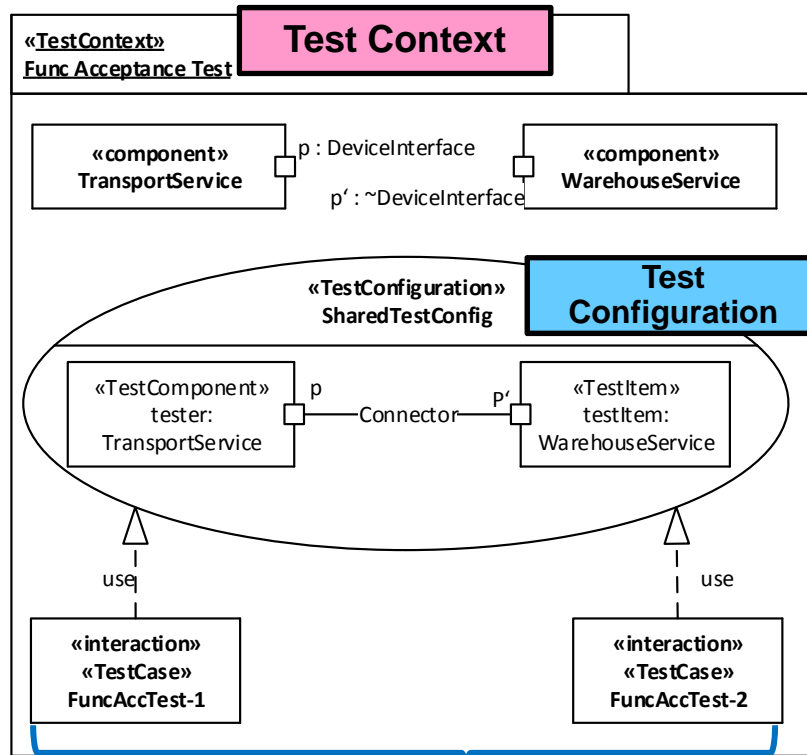


# WHAT CAN I DO WITH UTP 2?

## Conceptual overview



# WHAT CAN I DO WITH UTP 2?



# AGENDA

1. The UML Testing Profile @ a Glance

2. What can I do with UTP 2?

- **Test Language**
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## WHAT CAN I DO WITH UTP 2?

### Dedicated test actions in UTP 2

- Each UTP 2 **test case** consists of a **test procedure**
- A **test procedure** consists of **procedural elements**
- Procedural elements can be **atomic or non-atomic**
- A special kind of atomic procedural actions are **test actions**

*„An atomic procedural element that is an instruction to the tester that needs to be executed as part of a test procedure within some time frame.” [UTP2]*

- „Tester“ stands for both automated (i.e., test components, test drivers, test stubs) and manual testers

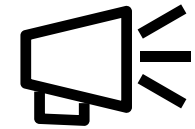
## WHAT CAN I DO WITH UTP 2?

### Overview: Test actions

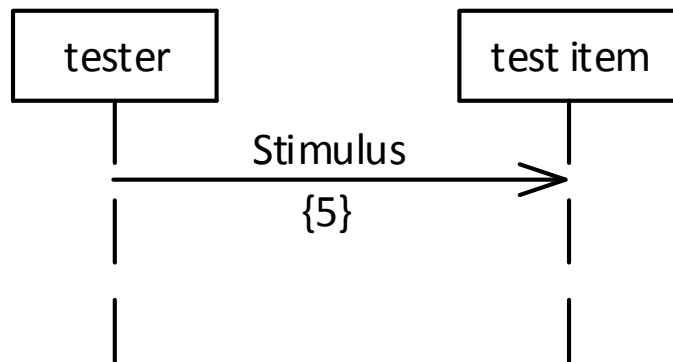
- A tester may want to
  - Stimuly the test item → **Create Stimulus Action**
  - Observe an expected response → **Expect Response Action**
  - Check some internal properties of the test item → **Check Property Action**
  - Submit a verdict to the arbitration specification → **Suggest Verdict Action**
  - Write something into the test log → **Create Log Entry Action**

## WHAT CAN I DO WITH UTP 2?

### Test action: Create Stimulus Action



*„A test action that instructs the tester to submit a stimulus (potentially including test data) to the test item.“*



### TTCN-3 equivalent

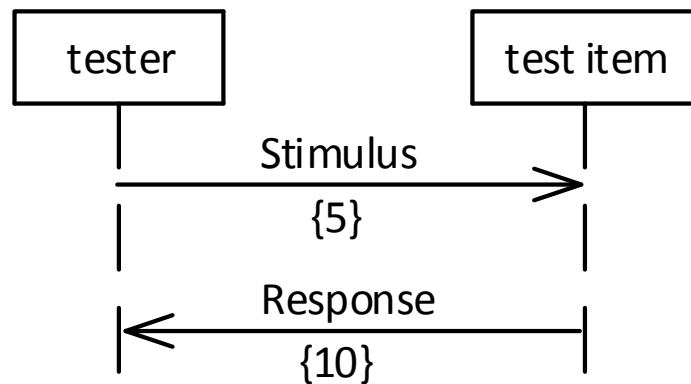
```
tester.send(Stimulus:{5});
```

## WHAT CAN I DO WITH UTP 2?

### Test action: Expect Response Action



*“A test action that instructs the tester to check the occurrence of one or more particular responses from the test item within a given time window.”*



### TTCN-3 equivalent

```
tester.send(Stimulus:{5});
```

```
tester.receive(Response:{10})
```

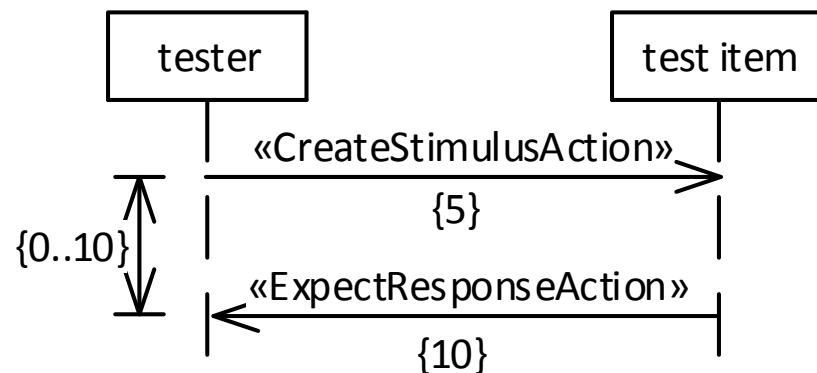


## WHAT CAN I DO WITH UTP 2?

### Test action: Expect Response Action (with timing)



*“A test action that instructs the tester to check the occurrence of one or more particular responses from the test item within a given time window.”*

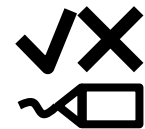


### TTCN-3 equivalent

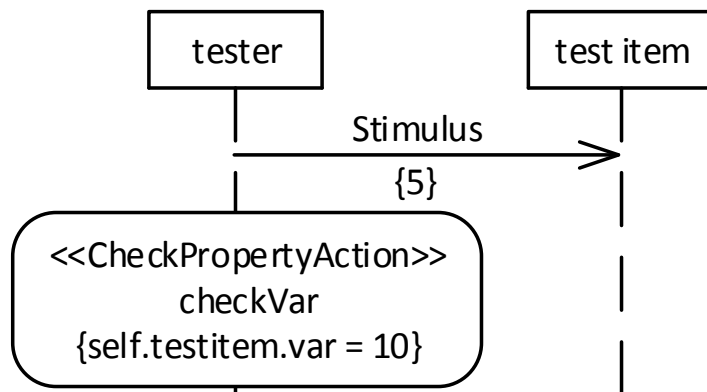
```
tester.send(Stimulus:{5});
timer.start(10);
alt{
  [] tester.receive(Response:{10})
    {...}
  [] timer.timeout
    {...}
}
```

## WHAT CAN I DO WITH UTP 2?

### Test action: Check Property Action



„A test action that instructs the tester to check the conformance of a property of the test item.“



### TTCN-3 equivalent

#### Function or external function

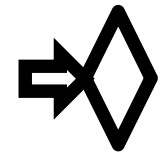
```
function boolean f_checkVar(integer expectedVar) {...}
```

#### Invocation in the test procedure

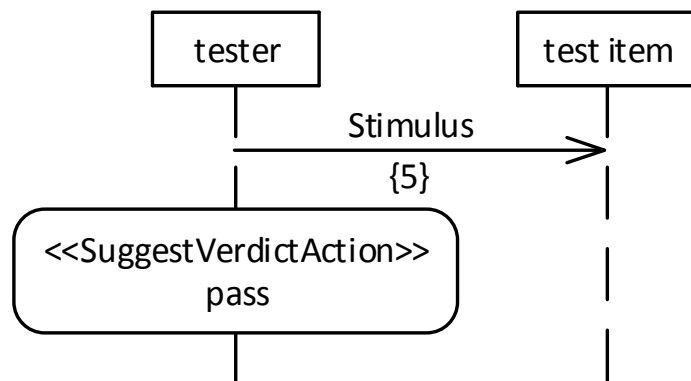
```
tester.send(Stimulus:{5});
var b := f_checkVar(10);
```

## WHAT CAN I DO WITH UTP 2?

### Test action: Suggest Verdict Action



*„A test action that instructs the tester to suggest a particular test action verdict to the arbitration specification of the test case for being taken into account in the final test case verdict.“*

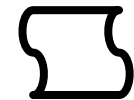


### TTCN-3 equivalent

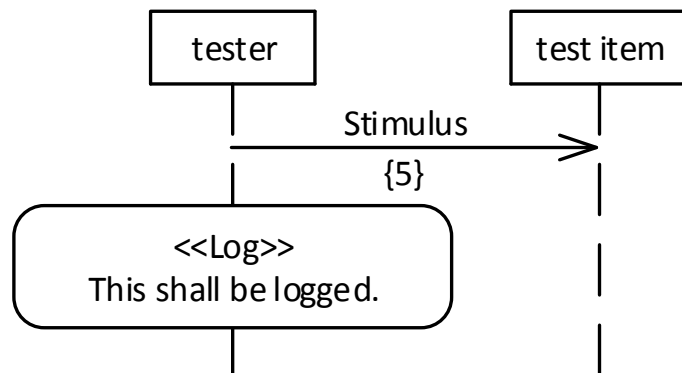
```
tester.send(Stimulus:{5});  
  
setverdict(pass);
```

## WHAT CAN I DO WITH UTP 2?

### Test action: Create Log Entry Action



*„A test action that instructs the tester to record the execution of a test action, potentially including the outcome of that test action in the test case log.“*



### TTCN-3 equivalent

```
tester.send(Stimulus:{5});  
  
log(„This shall be logged“);
```

## WHAT CAN I DO WITH UTP 2?

### Test actions summary

- UTP 2 provides a basic set of dedicated test actions for the creation of test cases
- Support for **API-based observation and control**
  - Create stimulus action, expect response action
- Support for **unspecified observation**
  - Check property action
- Support for **test tool communication**
  - Suggest verdict action, create log entry action

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3. What has not yet been said

# WHAT CAN I DO WITH UTP 2?

## Introduction: Arbitration Specifications

- An arbitration specification is a **specification** of the rules reasoning about verdicts
- Arbitration specifications can be defined for **test sets**, **test cases** and **test actions**
  - If no arbitration specification is set, a **default** one is set
  - Arbitration specifications can be **replaced** for certain test actions, test cases and test sets
- Arbitration specifications help keeping the test cases and test procedures **agnostic** of any verdict-related information

## WHAT CAN I DO WITH UTP 2?

### Interplay of arbitration specifications on different levels

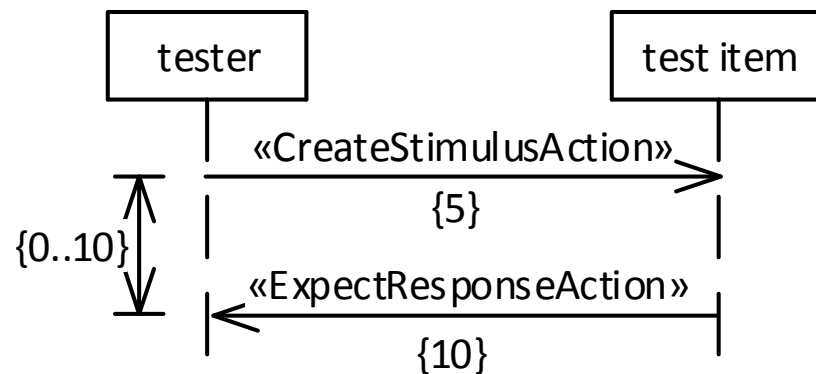
- Test action, test case and test set represent ascending composition levels
- Each arbitration specification provides a verdict
  - Test action AS → test action verdict
  - Test case AS → test case verdict
  - Test set AS → test set verdict
- Test action verdicts result from the evaluation of atomic test actions
- Test action verdicts are conveyed to the test case AS that is responsible to calculate the test case verdict
- Test case verdicts are conveyed to the test set AS (if set) that is responsible to calculate the test set verdict



## WHAT CAN I DO WITH UTP 2?

### Arbitration Specifications

- What is the semantics of the following test case with respect to its verdict?



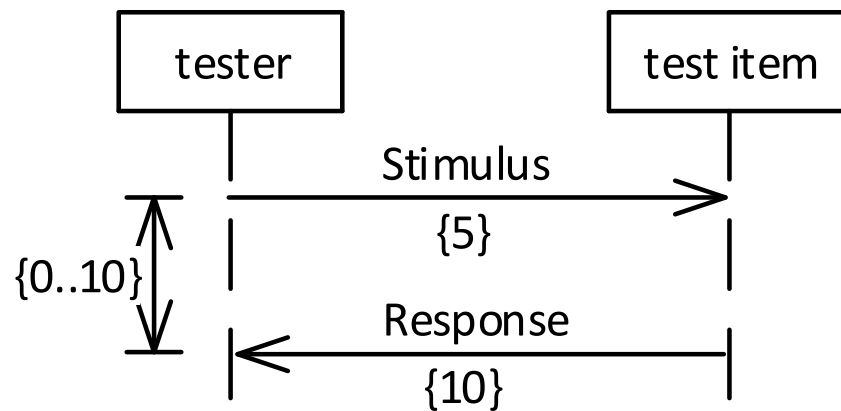
Semantics is given by the applied  
**arbitration specification.**

### Questions

- What verdict shall be set, if the expected response is received?
- What verdict shall be set, if another response is received before?
- What is the initial verdict of the test case?
- Is there a precedence rule of verdicts similar to TTCN-3 (i.e., none < pass < inconclusive < fail < error)?

# WHAT CAN I DO WITH UTP 2?

## A Simple Arbitration Specification



### TTCN-3 equivalent

```
tester.send(Stimulus:{5});
timer.start(10);
alt{
    []tester.receive(Response:{10})
        {setverdict(pass);}
    []timer.timeout
        {setverdict(fail);}
}
```

## WHAT CAN I DO WITH UTP 2?

### A Revised Arbitration Specification

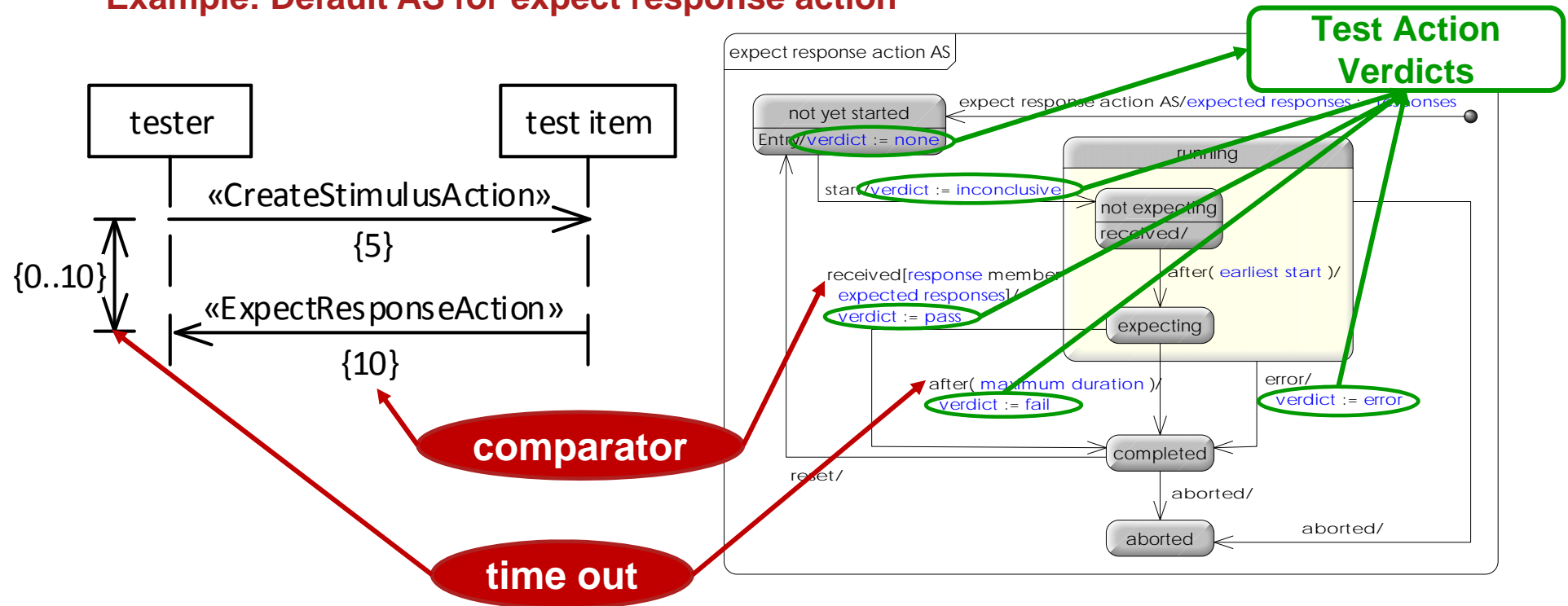
- Let us assume we exchange the AS of the expect response action with the following algorithm:
  - If the actual response matches the expected response, the verdict is *pass*
  - If the actual response does not match the expected response, the verdict is *fail*
  - If the duration constraint is violated, the verdict is *inconclusive*

### TTCN-3 equivalent

```
tester.send(Stimulus:{5});
timer.start(10);
alt{
  []tester.receive(Response:{10})
    {setverdict(pass);}
  []tester.receive
    {setvderdict(fail);}
  []timer.timeout
    {setverdict(inconc);}
}
```

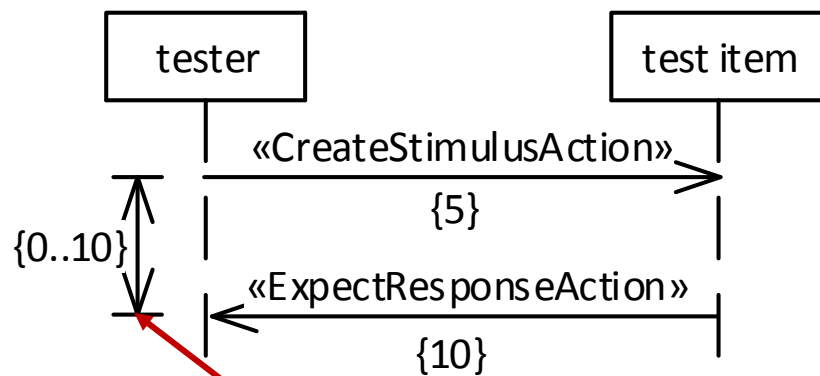
## WHAT CAN I DO WITH UTP 2?

### Example: Default AS for expect response action



## WHAT CAN I DO WITH UTP 2?

### Example: Default AS for expect response action



**comparator**

**time out**

### TTCN-3 equivalent

```
tester.send(Stimulus:{5});
timer.start(10);
alt{
  [] tester.receive(Response:{10})
    {setverdict(pass);}
  [] tester.receive
    {repeat;}
  [] timer.timeout
    {setverdict(fail);}
}
```

## WHAT CAN I DO WITH UTP 2?

### Summary: Arbitration Specifications

- Arbitration specifications have been **newly introduced** (in contrast to Arbiter) by UTP 2
- Help keeping the test case **clean** of verdict/arbitration-related logic
- UTP 2 **provides default** arbitration specification for test actions, test case and test sets
  - If no explicit arbitration is given, the default one will be taken by definition
  - Easy replacement of arbitration specifications through tagged values
- Arbitration specifications **do not have** to be expressed in a formal, yet executable way → but UTP 2 provides a formal semantics for its default AS

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1. The UML Testing Profile @ a Glance

2. What can I do with UTP 2?

- Test Language
- Arbitration Specifications
- **Test Design Facility**

3. What has not yet been said

## WHAT CAN I DO WITH UTP 2?

### Essentials of test design

- Test design activities derive an **output** (a set of test artifacts, e.g., test case, test data etc.) from an **input** (state machine, class diagram, etc.)
- The input is called **test model** (in ISO 29119 and ISTQB)
- Test design is **directed** by **test design techniques** (or coverage criteria or test goals...)
- Derivation depends on the **specific configuration** of a automated test generator or **instructions** for a human test generator
- **Capability/ability** of the test generator **limits** applicable test design techniques

UTP is (and was from the very beginning)  
intended to support **test design activities**



## WHAT CAN I DO WITH UTP 2?

CADP TGV



Spec Explorer



Smartesting  
CertifyIT



**How to cope with the variety of test generators  
(including the human test generator)?**

CEA Diversity



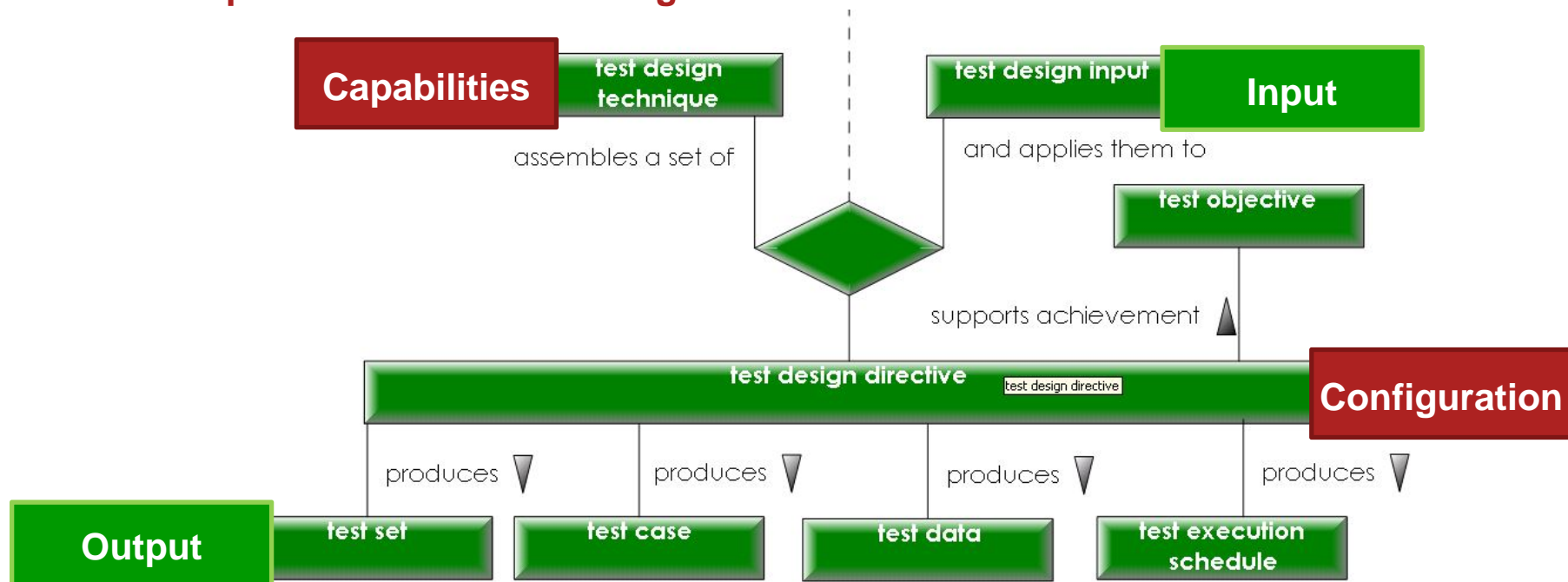
Graphwalker

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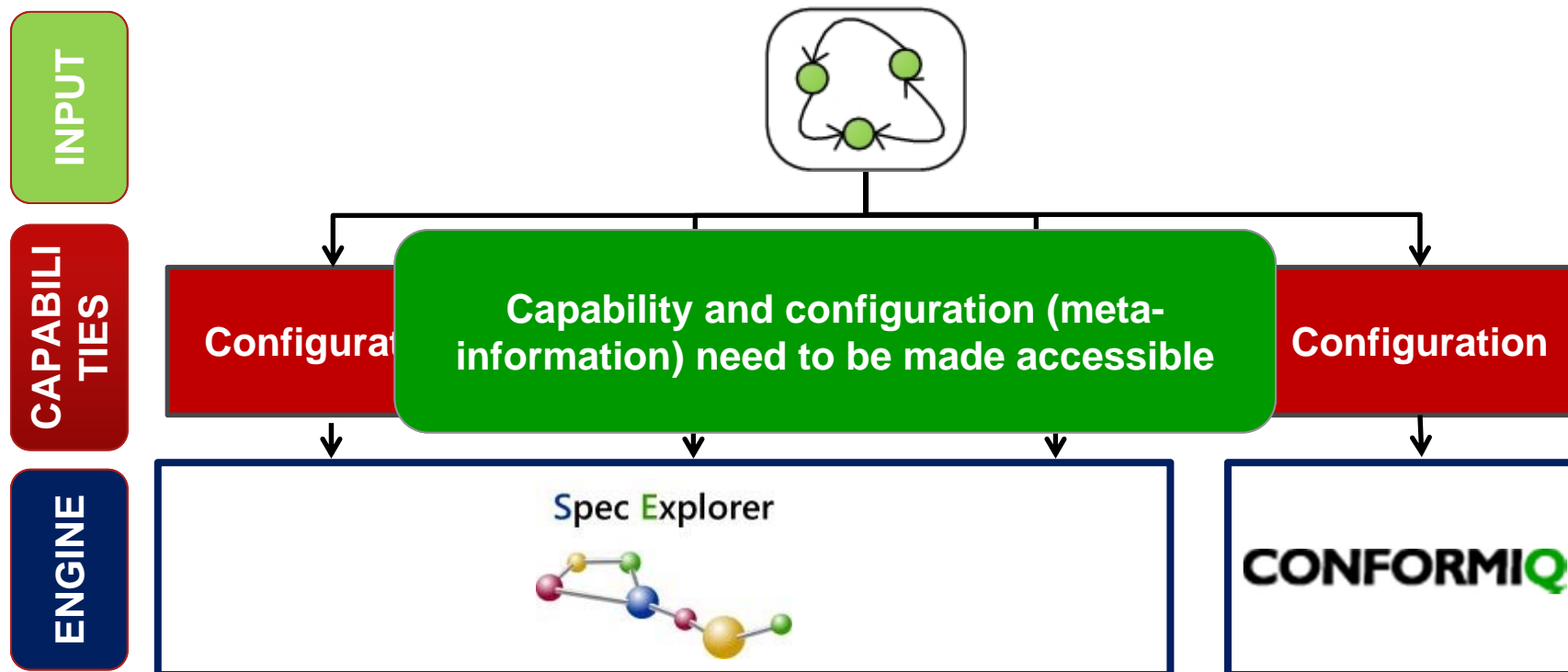
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# WHAT CAN I DO WITH UTP 2?

## A conceptual model for test design

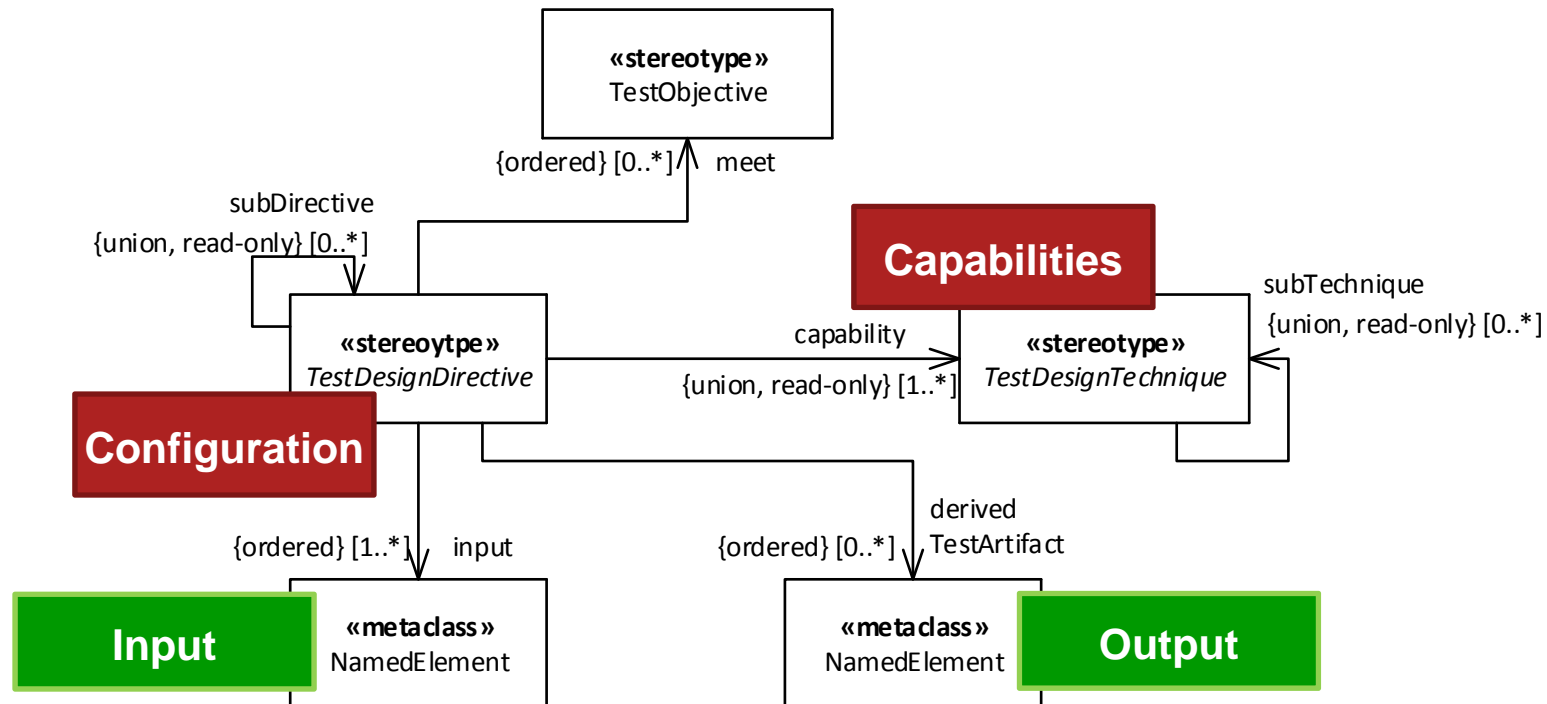


## WHAT CAN I DO WITH UTP 2?



# WHAT CAN I DO WITH UTP 2?

## The UTP 2 test design facility implementation



## WHAT CAN I DO WITH UTP 2?

### Summary: Test design directive and test design technique

- Domain experts and test engineers **shall not be bothered** with complex **internals** of test generators
- Test design directives and techniques **decouple the semantics** of test design techniques design **from dedicated implementations** of test generators
- Tool vendors can **publish and provide** the capabilities of their test generators in a standardized and integrated manner
- The test design facility is **easily extensible** to add further capabilities
- A set of **widely-used** test design techniques is offered out of the box (as library)

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1. The UML Testing Profile @ a Glance
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## WHAT HAS NOT BEEN SAID YET

### Relation to ETSI's Test Description Language cont.

- ETSI Test Description Language is a MOF-based metamodel that supports the definitions of test purposes and test descriptions
  - A test purpose is semantically equivalent to a test requirement
  - A test description is semantically equivalent to a sequence diagram, but by definition not-executable, because it resides on a higher-level of abstraction
- TDL is/was mainly intended to be used as a language for test specifications as basis for test case implementations, but already on a (semi-)formal level
- Bridges the gap among natural language requirements and test purposes and executable TTCN-3 test case implementations

## WHAT HAS NOT BEEN SAID YET

### Relation to ETSI's Test Description Language cont.

- UTP and TDL share a common idea of test modeling
  - TTCN-3 influenced UTP and (years later) UTP/UML re-influenced TDL
- TDL has no support for
  - Test directives and test design techniques
  - Arbitration specifications
  - Test logs
  - Deployment specifications
- ETSI has worked on a standardized UML profile for TDL

**→ If you are interested in TDL, visit the upcoming UCAAT conference at Fraunhofer FOKUS premises**



## WHAT HAS NOT BEEN SAID YET

### Timeline and Roadmap

- **June 2017:** Submission of revised submission; adoption by OMG as alpha standard expected; charter of finalization task force expected
- **June 2018:** Submission of FTF; release of UTP 2.0 by OMG expected; charter of UTP 2.1 revision task force
- **June 2019:** Release of UTP 2.1 expected

## WHAT HAS NOT BEEN SAID YET

### Summary

- UTP 2 is a graphical modelling language based on ÚML
- A graphical modelling language to support test design activities
- Terminology in particular influenced by ISO 29119 and ISTQB
- Concepts provided to describe (parts of) test automation architectures
- Just a specification technique! Transformations not part of UTP 2



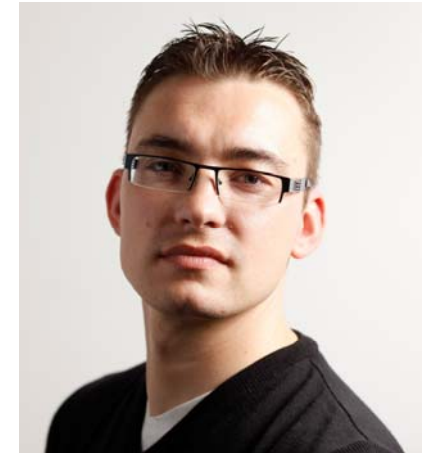
**WE ARE OVER AND DONE...**

Thank you very much for your attention.  
Questions?

## CONTACT

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- [1] Object Management Group (OMG), Unified Modeling Language (UML), <http://www.omg.org/spec/UML>
- [2] International Software Testing Qualifications Board (ISTQB), GISTQB Glossary V3.01, <http://www.istqb.org/downloads/finish/20/206.html>
- [3] International Standardisation Organisation (ISO), ISO 29119, Software and systems engineering - Software testing
- [4] Object Management Group, UML Testing Profile (UTP), <http://www.omg.org/spec/UTP>
- [5] European Telecommunications Standardisation Institute (ETSI): ES 202 951: Requirements for Modeling Notations. ETSI Standard, Methods for Testing and Specification (MTS); Model-Based Testing (MBT). V1.1.1 (2011-07)
- [6] Wendland, Marc-Florian, Abstractions on Test Design Techniques, in Proc.: Federated Conference on Computer Science and Information Systems (FedCSIS), 2014
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- [8] Wendland, Marc-Florian, Towards UTP 2, presented at 2nd User Conference on Advanced Automated Testing (UCAAT), 2014